

PROMOTION OF ACCESS TO INFORMATION ACT SECTION 51 MANUAL OF DR MARK PURDY

This Manual is published in terms of Section 51 of the Promotion of Access to Information Act, 2000 (Act No. 2 of 2000) ("the Act"). The Act gives effect to the provisions of Section 32 of the Constitution, which provides for the right of access to information held by the State and/or held by a private person or entity where such information is required to protect rights.

OVERVIEW

Dr Mark Purdy ("the Practice") is a specialist medical practice in the field of Urology. We work in the health sector and are healthcare professionals registered under the Health Professions Act of 1974, and are subject to the rules and regulations of the Health Professions Council of SA (HPCSA).

This manual serves to inform members of the public of the categories of information we hold, and which may, subject to the grounds of refusal listed in the Act, be disclosed after evaluation of an access application being made in terms of the Act.

AVAILABILITY OF THIS MANUAL

A copy of this manual is available -

- At the reception desk at the Practice: Midvaal Private Hospital, New Wing - Suite 29 (2nd Floor), Nile Drive, Three Rivers, Vereeniging, 1929
- On request from our Information Officer, details below.
- On our website: www.vaalurology.com

This Manual is the Practice's property and will be updated from time to time, as and when required.

INFORMATION OFFICER

Name: Dr Mark Purdy
Position: Specialist Urologist / Information Officer
Telephone: 016-454-4559
Email: drpurdy@vaalurology.com

DEPUTY INFORMATION OFFICER

Name: Candice Purdy
Position: Practice Manager / Deputy Information Officer
Telephone: 016-454-4559
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HOW TO REQUEST ACCESS TO RECORDS HELD BY THE PRACTICE

Requests for access to records held by the Practice must be made on the request form that is attached to this Manual or available from our website or rooms, or from the SA Department of Justice website at:

https://www.justice.gov.za/forms/paia/J752_paia_Form%20C.pdf ("Request Form")

When a record is requested, the following will apply:

- Fees may be payable as prescribed by law.
- The Request Form must be completed by the Requester. **On the Request Form all details must be completed, including the right the requester wants to protect by requesting the information and WHY access to the information is required.**
- If the requester is acting on behalf of someone else, the signature of the other person as the one who has authorised the request must be provided. In order to verify this, the practice may require further proof such as an identify document or may call the person whose information it is to verify that s/he has given permission for the other person to access the information on his/her behalf.
- The requester must state in which format (inspection of copy, paper copy, electronic copy, transcript, etc) s/he wants to access the information.
- If the record is part of another record, the requester will only be able to access the part(s) that pertains to the information s/he wants or is entitled to, and not the rest of the record.

All requests will be evaluated against the provisions of the Act. The Information Officer to refuse access on grounds stipulated in the Act. One can, for example, not access another person's confidential information, or trade- or commercial secrets of a business. **An answer on a request for information must be provided within 30 days of the request, and if not granted and the requester is not satisfied, s/he can approach the courts within 30 days.**

HOW THE PROTECTION OF PERSONAL INFORMATION ACT WORKS

The Act provides that a requester may be provided access to records of a private body, if the record is required for the exercise or protection of any rights. If a public body lodges a request, the public body must be acting in the interest of the public.

Requests under the Act must be made in terms of the procedures prescribed by the Act, at the rates provided. The forms and tariff are dealt with under section 53 and 54 of the Act.

VOLUNTARY DISCLOSURE

The following information is made known automatically via our website at www.vaalurology.com and persons do not have to fill out a form to request such information:

- Our Contact Details (including address, telephone and email).
- Our Opening Hours.
- Our Services and what to expect at your First Consultation.
- An explanation of costs (both IN-and OUT-OF-HOSPITAL); including which funds we are contracted with (i.e. no additional charges).
- Our Terms & Billing Consent Document, which includes information about our fee structure for common in-rooms procedures (consultations, follow-up consultation, ultrasound, uroflow, dipsticks, etc).
- Our Policy on Chaperones.
- Our Policy on Privacy/Confidentiality.

RECORDS AVAILABLE IN TERMS OF OTHER LEGISLATION

Information is available in terms of the following legislation, subject to conditions set by such laws. As legislation changes from time to time, and new laws may stipulate new matters and extend the scope of access by persons specified in such entities, this list should be read as not being a final and complete list.

Business legislation (including all regulations issued in terms of such legislation):

The Companies Act 71 of 2008; Income Tax Act 58 of 1962; Value Added Tax Act 89 of 1991; Labour Relations Act 66 of 1995; Basic Conditions of Employment Act 75 of 1997; Employment Equity Act 55 of 1998; Skills Development Levies Act 9 of 1999; Unemployment Insurance Act 63 of 2001; Compensation for Occupational Injuries and

Disease Act 130 of 1993; Occupational Health and Safety Act of 85 of 1993; Electronic Communications and Transactions Act 25 of 2002; Telecommunications Act 103 of 1996; Electronic Communications Act 36 of 2005; Consumer Protection Act 68 of 2008; Broad-based Black Economic Empowerment Act 53 of 2003; National Credit Act 34 of 2005; Long-term Insurance Act 52 of 1998; Protection of Personal Information Act 4 of 2013; etc.

Health legislation (including all regulations issued in terms of such legislation):

This legislation is of extreme relevance in the health sector and Requesters should familiarise themselves with it. The National Health Act 61 of 2003; Medical Schemes Act 121 of 1998; Medicines and Related Substances Act 101 of 1965; Children's Act 38 of 2005; Mental Healthcare Act 17 of 2002; Choice on Termination of Pregnancy Act 92 of 1996; Sterilisation Act 44 of 1998; Health Professions Act 56 of 1974; etc.

RECORDS HELD BY THE PRACTICE AND RELATED CATEGORIES OF DATA SUBJECTS

We hold records in the categories listed below. The fact that we list a record type here does not necessarily mean that we will disclose such records, and all access is subject to the evaluation processes outlined herein, which will be exercised in accordance with the requirements of the Act.

1. **Internal records** relating to our business, which could include our business's policies; reports; financial records; operational records, policies and procedures; contracts; licences; marketing records; other internal policies and procedures; internal correspondence; statutory records; insurance policies and records; etc.
2. **Personnel records**, which could include records of temporary/fixed term/part-time/permanent employees. Records include personal files, records third parties have provided to us about their / our staff; employment contracts, conditions of employment; workplace policies; disciplinary records; termination records; minutes of staff meetings; performance management records and systems and all employment-related correspondence.
3. **Patient records**, which could include client/patient lists; health records; medical reports; funding records; agreements; consents; needs assessments; financial and accounts information; research information; evaluation records; profiling; and similar information. ***It must be noted that, in the health sector, personal and patient information are protected by legislation and ethical rules, and disclosure can only take place, if at all, within those frameworks.***
4. **Supplier and service provider records**, which could include supplier registrations; contracts; confidentiality agreements and non-disclosure agreements, communications; logs; delivery records; commissioned work; and similar information, some of which might be provided to us by such suppliers and providers under service-and other contacts.
5. **Technical records**, which could include manuals, logs, electronic and cached information, product registrations, product dossiers, health professional council / statutory body records, approvals, conditions and requirements, trade association information and similar product information.
6. **Third party information**, which may be in our possession, but which would be subject to the conditions set in relation to such possession and use or purpose limitations.
7. **Environment and market information**, which could include information bought, publicly available information and commissioned information which pertains to the specific sector and market of our business and factors that affect the business, professional and healthcare environment.

CATEGORIES OF RECIPIENTS OF PERSONAL INFORMATION

We may, as authorised by the National Health Act, share relevant personal and health information with the hospitals we work in, with other service providers who are involved in your care (Doctors, Nurses, Psychologists, Physiotherapists, Sexologists, Occupational Therapists, etc) and where such sharing is in your best interest and to medical schemes, and companies that provide specific products needed in your care, where applicable. We also

have to, by law, report adverse events of products (medicines / devices) to the SA Health Products Regulatory Authority and the company whose products it is.

PLANNED TRANS-BORDER FLOW OF PERSONAL INFORMATION

The Practice does not routinely have any planned trans-border flow of personal information. In a few specific instances, medical devices may be obtained from overseas and in that case you will be consented to allow your information to be shared with a third party provider.

- *Example 1: Brachytherapy Seed Implants, which are ordered through your Oncologist/Nuclear Medicine Specialist from the USA.*
- *Example 2: Interstim Neuromodulation Devices are also imported, but available in South Africa through Medtronic.*

PURPOSE OF THE PROCESSING OF THE RECORDS REFERRED TO

The purpose of processing the information contained in the records listed above, is:

- In relation to **the business/internal records**: For good corporate governance and to comply with business and tax legislation.
- In relation to **Employees**: for retention of employment records as legislated and execution of employer/employee agreements and labour legislation.
- In relation to **Patients**: for retention of records as required by law and to provide healthcare services, to access health products (prescriptions and products orders) and for the collection of fees for the services so provided. Where the Practice participates in Registries or other databases, the specific consents signed by patients to have their information included, will disclose the purpose of such databased.
- In relation to **Suppliers and Service Providers**: for record retention as legislated and for the execution of the supplier- and service level agreements.

THE SUITABILITY OF THE INFORMATION SECURITY MEASURES

The Practice stores information electronically and physically as follows:

- Physical records are kept in locked cabinets at the Practice which is locked when not in use, and at the end of each day and only two people have access to the keys to the filing cabinets. Only Dr Purdy and his three staff members have keys to the Practice – the main entrance door to the hospital wing from the lift; as well as the door to the practice. Both doors are locked when no one is on the premises. Security cameras monitored by the hospital are in the basement entrance and at the lift to the Doctor's wing.
- Copies of paper-based physical records are not kept offsite.
- Electronic records are kept on our Practice Management Software, Vericlam, which is password protected. A login is available for the 4 staff members. Appropriate access control levels are granted to each specific staff member and access is prohibited outside of the Practice's opening hours (i.e. access cannot be made when a staff member is at home or over weekends because the hours of use are linked to their login). When a staff member leaves the employ their access is immediately revoked. Vericlam requires a regular password change. Vericlam is POPIA compliant and takes all appropriate security measures to safeguard your personal information on their servers.
- Office computers are password protected, with software regularly updated to protect against hacking, unauthorised access, tampering and the likes, and staff are trained to avoid practices that could place records at risk and on good practices that would keep electronic information reasonably secure.
- When documents are scanned in they are uploaded to the practice management software and then digitally shredded from the staff members computer.

PRESCRIBED FEES

The applicable fees are prescribed in terms of the Regulations promulgated under the Act. There are two basic types of fees payable in terms of the Act.

- **Request Fee:** The non-refundable request fee of R50 is payable on submission of any request for access to any record. This does not apply if the request is for personal records of the requestor. No fee is payable in such circumstances.
- **Access Fee:** The access fee is payable prior to being permitted access to the records in the required form. The applicable fees are prescribed in terms of Part III of Annexure A as identified in Government Notice Number 187, Regulation 11.

The following applies to all requests other than personal requests:

- The requestor is required to pay the prescribed fee of R50 before the request will be processed.
- If the preparation of the record requested requires more than the prescribed 6 (six) hours, a deposit of not more than one third of the access fee which would be payable if the access was granted, shall be payable.
- The requestor may lodge an application with a court against the tender/payment of the request fee and/or deposit.
- Records may be withheld until fees have been paid.

Fee Structure in respect of records requested from Private Bodies (which pertain to the Practice)

1. The fee for a copy of the manual as contemplated in regulation 9 (2) (c) is R1,10 for every photocopy of an A4-size page or part thereof.
2. The request fee payable by a requester, other than a personal requester, referred to in regulation 11 (2) is R50,00.
3. The fees for reproduction referred to in regulation 11 (1) are as follows:

a. For every photocopy of an A4-size page or part thereof	R1,10
b. For every printed copy of an A4-size page or part thereof held on a computer or in electronic or machine-readable form	R0,75
c. For a copy in a computer-readable form on compact disc	R70,00
d. For a transcription of visual images, for an A4-size page or part thereof	R40,00
e. For a copy of visual images	R60,00

This manual is signed by Dr MR Purdy on 11th day of June 2021.



Signature: Information Officer [Practice owner]

D. Particulars of record

- (a) Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located.
- (b) If the provided space is inadequate, please continue on a separate folio and attach it to this form. The requester must sign all the additional folios.

1. Description of record or relevant part of the record:

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2. Reference number, if available:

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3. Any further particulars of record:

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E. Fees

- (a) A request for access to a record, other than a record containing personal information about yourself, will be processed only after a request fee has been paid.
- (b) You will be notified of the amount required to be paid as the request fee.
- (c) The fee payable for access to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.
- (d) If you qualify for exemption of the payment of any fee, please state the reason for exemption.

Reason for exemption from payment of fees:

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F. Form of access to record

If you are prevented by a disability to read, view or listen to the record in the form of access provided for in 1 to 4 below, state your disability and indicate in which form the record is required.

Disability:	Form in which record is required:
Mark the appropriate box with an X .	
NOTES:	
(a) Compliance with your request for access in the specified form may depend on the form in which the record is available.	
(b) Access in the form requested may be refused in certain circumstances. In such a case you will be informed if access will be granted in another form.	
(c) The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.	

1. If the record is in written or printed form:					
	copy of record*		inspection of record		
2. If record consists of visual images - (this includes photographs, slides, video recordings, computer-generated images, sketches, etc.):					
	view the images		copy of the images*		transcription of the images*
3. If record consists of recorded words or information which can be reproduced in sound:					
	listen to the soundtrack (audio cassette)		transcription of soundtrack* (written or printed document)		
4. If record is held on computer or in an electronic or machine-readable form:					
	printed copy of record*		printed copy of information derived from the record*		copy in computer readable form* (stiffy or compact disc)

*If you requested a copy or transcription of a record (above), do you wish the copy or transcription to be posted to you? Postage is payable.	YES	NO
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G. Particulars of right to be exercised or protected

If the provided space is inadequate, please continue on a separate folio and attach it to this form. The requester must sign all the additional folios.

1. Indicate which right is to be exercised or protected:

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2. Explain why the record requested is required for the exercise or protection of the aforementioned right:

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H. Notice of decision regarding request for access

You will be notified in writing whether your request has been approved / denied. If you wish to be informed in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.

How would you prefer to be informed of the decision regarding your request for access to the record?

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Signed at this day..... ofyear

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SIGNATURE OF REQUESTER /
PERSON ON WHOSE BEHALF REQUEST IS MADE